|   | • •   |  |                |
|---|---|--|----------------|
|   | U.S. DEPARTMENT OF CO<br>PATENT AND TRADEMA | -  |                |
| RESPONSE TO NOTIFICATION OF<br>MISSING REQUIREMENTS UNDER 35<br>U.S.C. 371                                |   | Docket Number: 10537/307 International Application No.: PCT/DE04/00653 |                |
|   |   |  |                |
| 10/551,478  | March 29, 2004                              | To be assigned   | To be assigned |
| Invention Title METHOD AND DEVICE FOR CONTROLLING AT LEAST ONE OPERATING VARIABLE OF AN ELECTROLYTIC BATH |   | ENGLER et al.  |                |

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

SIR:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed June 2, 2006, Applicants submit herewith an executed Declaration (7 pages), in order to complete the filing requirements for the U.S. national phase of the above-identified PCT application. Applicants also enclose a copy of the Notification as required.

- 1. The U.S. Patent and Trademark Office is authorized to charge the \$130.00 (large entity) fee to cover the surcharge for late filing of the Declaration to Deposit Account No. 11-0600. Should any additional fees be required, the U.S. Patent and Trademark Office is authorized to charge them to Deposit Account No. 11-0600.
- 2. A copy of this paper is enclosed for charging purposes.

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Clifford A. Ulrich (Reg. No. 42,194)

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**CUSTOMER NO. 26646** 



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Box 1450 Alexandria, Virginis 22313-1450 www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT                     | . ATT            | ATTY. DOCKET NO. |  |
|-----------------------------|---|------------------|------------------|--|
| 10/551,478                  | Harald Engler 10537 INTERNATIONAL APPLICA |                  | 10537/307        |  |
|                             |   |                  | PLICATION NO.    |  |
| ·                           |   | PCT/DE04/00653   |                  |  |
| 26646                       |   | I.A. FILING DATE | PRIORITY DATE    |  |
| KENYON & KENYON LLP         |   | 03/29/2004       | 03/29/2003       |  |

26646 KENYON & KENYON LLP ONE BROADWAY NEW YORK, NY 10004

CONFIRMATION NO. 4311
371 FORMALITIES LETTER

\*OC000000019062813\*

Date Mailed: 06/02/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/29/2005
  - English Translation of the IA filed on 09/29/2005
  - Copy of the International Search Report filed on 09/29/2005
  - Copy of IPE Report filed on 09/29/2005
  - Preliminary Amendments filed on 09/29/2005
  - Information Disclosure Statements filed on 09/29/2005
  - Oath or Declaration filed on 09/29/2005
  - Request for Immediate Examination filed on 09/29/2005
  - U.S. Basic National Fees filed on 09/29/2005
  - Substitute Specification filed on 09/29/2005
  - Priority Documents filed on 09/29/2005
  - Specification filed on 09/29/2005
  - Claims filed on 09/29/2005
  - Abstracts filed on 09/29/2005
  - Drawings filed on 09/29/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

OFFICE OF THE

■ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/551,478                  | PCT/DE04/00653                | 10537/307        |

FORM PCT/DO/EO/905 (371 Formalities Notice)

| U.S. DEPARTMENT OF COMMERCE   |                           |                          |                  |
|---|---------------------------|--------------------------|------------------|
|   | PATENT AND TRADEM         | IARK OFFICE              |                  |
| Transmittal Letter  |                           | Docket Number: 10537/307 |                  |
| Application Number  | International Filing Date | Examiner                 | Art Unit         |
| 10/551,478  | March 29, 2004            | Not yet assigned         | Not yet assigned |
| Invention Title METHOD AND DEVICE FOR CONTROLLING AT LEAST ONE OPERATING VARIABLE OF AN ELECTROLYTIC BATH |                           | ENGLER et al.            |                  |

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Signature:

SIR:

- 1. Please find enclosed the following documents filed in connection with the above-referenced application:
  - a. Appointment of Power of Attorney by Assignee of Entire Interest.
- 2. No fee is believed to be necessary. However, the Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon LLP**, deposit account number 11–0600:
  - A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional document supply fees under 37 C.F.R. § 1.19;
  - D. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - E. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

Dated:

July 6, 2006 By:

Clifford A. Ulrich Reg. No. 42,194

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